

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Kensuke KOMATSU et al.

Group Art Unit: Not Yet Assigned

Appl. No.

: 10/767,334

Examiner: Not Yet Assigned

Filed

: January 30, 2004

Confirmation No.: 5231

For

: FLUORESCENT PROBE FOR ZINC

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents U.S. Patent and Trademark Office 220 20th Street S. Customer Window, Mail Stop Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby direct the Examiner's attention the following information:

J.G. REYES et al., Biol. Res., Vol. 27, pp. 49-56, 1994. Applicants note that this document is cited on page 1 of the specification of the present application;

M. TSUDA et al., The Journal of Neuroscience, Vol. 17, No. 17, September 1, 1997, pp. 6678-6684. Applicants note that this document is cited on page 1 of the specification of the present application;

T. KOIKE et al., J. Am. Chem. Soc., Vol. 118, 1996, pp. 12696-12703. Applicants note that this document is cited on page 1 of the specification of the present application;

WO 01/62755, together with patent family member U.S. Patent Application Publication No. 2003/162298 A1. Applicants note that the International Patent Application Publication is cited on pages 2, 3, 10, 19, and 27 of the specification of the present application;

Japanese Laid-Open Patent Publication No. 2000-239272, together with an English language Abstract of the same, which is a family member of JP 11-040325.

Applicants note that this document is cited on page 2 of the specification of the present application;

The subject matter of the present invention was disclosed in a Web site of the Pharmaceutical Society of Japan, on February 1, 2003, a copy of the screenshot is enclosed. It was then published in an Abstract of "The 123rd Annual Congress of the Pharmaceutical Society of Japan" on March 5, 2003 for presentation No. 29[P1]I-219 entitled "Development of Fluorescent Probe Having Low Affinity for Zinc" in the 123rd Annual Congress of the Pharmaceutical Scoiety of Japan held on March 27-29, 2003.

- U.S. Patent No. 6,441,197;
- U.S. Patent No. 6,569,892;
- U.S. Patent No. 6,656,927; and
- U.S. Patent Publication No. US 2004/0147035 A1.

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the U.S. patents and U.S. patent application publication are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(iii) if the U.S. patent application was filed before June 30, 2003 or was scanned into the image file wrapper system, copies of the U.S. applications are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants respectfully requests that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents are attached hereto and all of the documents are listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p) to Deposit Account No. 19-0089.

P24867.A03

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully Submitted,
Kensuke KOMATSU et al.

Bruce H. Bernstein Reg. No. 29,027

November 5, 2004 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191

FORM PTO-1		U.S. Department of Commerce Patent and Trademark Office									eket No.		Application No. 10/767,334				
OTPE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use control blocks if accessed)												Applicant Kensuke KOMATSU et al.					
NOV 0 5 2004 (Use several sheets if necessary))		Filing Date January 30, 2004			Group Not Yet Assigned			
C RADENS	<u> </u>							1	U.S. PATEN	T DOCUM	MENTS	-		1			
EXAMINER															FILING DATE IF		
INITIAL		D	OCI	UME	ENT	NU	MBE	ER	DATE	NAME		CLASS SUBO		CLASS APPROPRIATE			
		6	4	4	1	1	9	7	08/27/02	NAGANO et al.							
		6	5	6	9	8	9	2	05/27/03	NAGANO et al.			_				
		6	6	5	6	9	2	7	12/02/03	NAGANO et al.							
	2004/	0	1	4	7	0	3	5	07/29/04	NAGANO et al.							
	2003/	0	1	6	2	2	9	8	08/28/03	NAGANO et al.							
		<u> </u>	<u></u>	<u> </u>		<u> </u>	<u> </u>	L									
	T	ı						FO	REIGN PAT	TENT DOO	CUMENTS	; 	ı				
		DOCUMENT NUMBER DATE								cou	NTRY	CLASS	SUB	CLASS	TRANSLATION YES NO		
	0	1	/	6	2	7	5	5	08/30/01	W.I.P.O.							
	2000	-	2	3	9	2	7	2	09/05/00	JAPAN							
			<u> </u>	_													
		ļ	<u> </u>	<u> </u>					ļ .								
	<u> </u>			_	<u> </u>	_	ļ	<u> </u>								ļ	
		<u> </u>		<u> </u>	<u> </u>	<u> </u>	<u> </u>					l					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)																	
		+	1 English Language Abstract of JP 2000-239272, published September 5, 2000.														
		+	2 J.G. REYES et al., Biol. Res., Vol. 27, pp. 49-56, 1994.														
		1	3 M. TSUDA et al., The Journal of Neuroscience, Vol. 17, No. 17, September 1, 1997, pp. 6678-6684.														
		4	Screenshot of Web site of the Pharmaceutical Society of Japan, on February 1, 2003														
		6														for	
			presentation No. 29[P1]I-219 entitled "Development of Fluorescent Probe Having Low Affinity for Zinc" in														
			the 123 rd Annual Congress of the Pharmaceutical Scoiety of Japan held on March 27-29, 2003.														
			Society Bu 11/5ters														
						_				<u> </u>							
EXAMINER											TE CONSI					***	
*EXAMINER	: Initial i	f cita	ation	con	side	red,	whet	her	or not citatio	n is in conf	ormance w	ith MPEP 60	9; draw	line thro	ugh citatio	n if not in	

conformance and not considered. Include copy of this form with next communication to applicant.